



**QUARTERLY STATEMENT**  
AS OF MARCH 31, 2021  
OF THE CONDITION AND AFFAIRS OF THE  
**ERIE INSURANCE COMPANY OF NEW YORK**

NAIC Group Code 213 213 NAIC Company Code 16233 Employer's ID Number 16-0377190  
(Current Period) (Prior Period)  
 Organized under the Laws of the State of NEW YORK State of Domicile or Port of Entry NEW YORK  
 Country of Domicile U.S. Incorporated April 20, 1994 Commenced Business September 1, 1885  
 Statutory Home Office 120 Corporate Woods, Suite 150 Rochester, NY 14623  
(Street and Number) (City or Town, State and Zip Code)  
 Main Administrative Office 100 Erie Insurance Place Erie, PA 16530 814-870-2000  
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)  
 Mail Address 120 Corporate Woods, Suite 150 Rochester, NY 14623  
(Street and Number) (City or Town, State and Zip Code)  
 Primary Location of  
 Books and Records 120 Corporate Woods, Suite 150 Rochester, NY 14623 585-214-5800  
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)  
 Internet Website Address www.erieinsurance.com  
 Statutory Statement Contact Jorie Lee Novacek 814-870-2000 x4248  
(Name) (Area Code) (Telephone Number) (Extension)  
Jorie.Novacek@erieinsurance.com 814-870-4040  
(E-Mail Address) (Area Code) (Fax Number)

**DIRECTORS**

Brian William Bolash      James Ernest Cafilisch      Gregory John Gutting      Jonathan Hirt Hagen, Chairman  
 Robert Coleman Ingram, III      Christina Marie Marsh      Robert Wayne McNutt      Timothy Gerard NeCastro

**EXECUTIVE OFFICERS**

President      Executive Vice President  
 & Chief Executive Officer: Timothy Gerard NeCastro      & Chief Financial Officer: Gregory John Gutting  
 Executive Vice President  
 & Chief Information Officer: Robert Coleman Ingram, III      Executive Vice President: Lorianne Feltz  
 Executive Vice President: Douglas Edward Smith      Executive Vice President: Dionne Wallace Oakley

.....  
 Senior Vice President & Chief Actuary: Bradley Clay Eastwood  
 Senior Vice President & Controller: Julie Marie Pelkowski  
 Senior Vice President, Secretary & General Counsel: Brian William Bolash  
 Senior Vice President & Chief Investment Officer: Ronald Steven Habursky  
 Vice President & Corporate Treasurer: Robert Wayne McNutt

State of Pennsylvania / SS  
 County of Erie /

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Timothy Gerard NeCastro  
 President & CEO

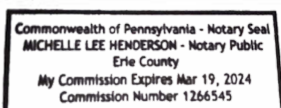
Brian William Bolash  
 Senior Vice President, Secretary & General Counsel

Gregory John Gutting  
 Executive Vice President & CFO

Subscribed and sworn to before me this  
 5th day of May, 2021.

- (a) Is this an original filing? Yes (X) No ()
- (b) If no: (i) State the amendment number:
- (ii) Date filed:
- (iii) Number of pages attached:

*Michelle L. Henderson*



## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	69,939,204		69,939,204	69,899,594
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	31,472		31,472	31,472
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....92,338), cash equivalents (\$.....2,641,796) and short-term investments (\$.....0).....	2,734,134		2,734,134	1,411,071
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	72,704,810	0	72,704,810	71,342,137
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	569,241		569,241	695,246
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	4,443,606	111,241	4,332,365	4,569,839
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	55,174,593		55,174,593	52,363,395
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	3,130		3,130	4,645
16.2 Funds held by or deposited with reinsured companies.....	9,904		9,904	9,487
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....	469,011		469,011	462,832
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	337,709		337,709	377,493
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	18,609	0	18,609	16,967
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	133,730,613	111,241	133,619,372	129,842,040
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	133,730,613	111,241	133,619,372	129,842,040

### DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Equity and Deposits in Pools and Association.....	5,755		5,755	5,755
2502. Premium Tax Credits & Other.....	3,938		3,938	4,481
2503. Other Accounts Receivable.....	8,916		8,916	6,730
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	18,609	0	18,609	16,967

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....2,886,296).....	20,016,814	20,122,616
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....	3,801,845	3,805,954
4. Commissions payable, contingent commissions and other similar charges.....	708	533
5. Other expenses (excluding taxes, licenses and fees).....	3,815	2,971
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	125,805	197,505
7.1 Current federal and foreign income taxes (including \$....47,117 on realized capital gains (losses)).....	382,183	22,202
7.2 Net deferred tax liability.....		
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$....85,558,654 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	16,160,476	15,942,592
10. Advance premium.....	469,615	226,991
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....	44,791	46,328
12. Ceded reinsurance premiums payable (net of ceding commissions).....	49,605,490	47,274,086
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....	156,034	65,933
15. Remittances and items not allocated.....	9,653	5,170
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	4,481,709	4,542,160
20. Derivatives.....		
21. Payable for securities.....		682,772
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	95,258,937	92,937,812
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	95,258,937	92,937,812
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	2,350,000	2,350,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	9,150,000	9,150,000
35. Unassigned funds (surplus).....	26,860,435	25,404,227
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	38,360,435	36,904,227
38. Totals (Page 2, Line 28, Col. 3).....	133,619,372	129,842,040

### DETAILS OF WRITE-INS

2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$....45,893,888).....	41,567,251	42,709,787	167,184,838
1.2 Assumed..... (written \$....9,811,684).....	9,593,800	9,398,639	37,988,128
1.3 Ceded..... (written \$....45,893,888).....	41,567,251	42,709,787	167,184,838
1.4 Net..... (written \$....9,811,684).....	9,593,800	9,398,639	37,988,128
DEDUCTIONS:			
2. Losses incurred (current accident year \$....5,071,964):			
2.1 Direct.....	20,592,935	22,401,397	79,885,273
2.2 Assumed.....	4,845,894	5,419,723	20,646,625
2.3 Ceded.....	20,592,935	22,401,397	79,885,273
2.4 Net.....	4,845,894	5,419,723	20,646,625
3. Loss adjustment expenses incurred.....	919,880	934,696	3,526,803
4. Other underwriting expenses incurred.....	2,650,433	2,558,793	10,519,569
5. Aggregate write-ins for underwriting deductions.....	3,428	4,124	15,884
6. Total underwriting deductions (Lines 2 through 5).....	8,419,634	8,917,336	34,708,880
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	1,174,166	481,303	3,279,247
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	483,950	459,167	1,847,554
10. Net realized capital gains (losses) less capital gains tax of \$....44,380.....	112,241	(114,873)	197,853
11. Net investment gain (loss) (Lines 9 + 10).....	596,191	344,294	2,045,407
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$....17,604 amount charged off \$....36,107).....	(18,503)	(108,193)	(153,981)
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	1,110	1,074	6,026
15. Total other income (Lines 12 through 14).....	(17,393)	(107,119)	(147,955)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	1,752,964	718,478	5,176,699
17. Dividends to policyholders.....	13,268	16,969	1,002,656
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	1,739,696	701,510	4,174,043
19. Federal and foreign income taxes incurred.....	315,601	215,762	785,216
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,424,095	485,748	3,388,827
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	36,904,227	33,464,632	33,464,632
22. Net income (from Line 20).....	1,424,095	485,748	3,388,827
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$....61.....	(61)	(33)	(16)
25. Change in net unrealized foreign exchange capital gain (loss).....	292	157	(830)
26. Change in net deferred income tax.....	6,240	90,152	69,707
27. Change in nonadmitted assets.....	25,642	11,754	(18,093)
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	1,456,208	587,778	3,439,595
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	38,360,435	34,052,410	36,904,227
<b>DETAILS OF WRITE-INS</b>			
0501. LAD Program - Expense.....	3,428	4,124	15,884
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	3,428	4,124	15,884
1401. Miscellaneous Income.....	1,110	1,074	6,026
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	1,110	1,074	6,026
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	9,857,073	9,145,805	37,970,891
2. Net investment income.....	753,617	692,824	2,403,951
3. Miscellaneous income.....	(36,543)	(50,989)	(148,821)
4. Total (Lines 1 through 3).....	10,574,146	9,787,640	40,226,021
5. Benefit and loss related payments.....	4,950,599	5,592,117	21,188,599
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	3,648,530	3,713,739	14,179,848
8. Dividends paid to policyholders.....	14,805	17,737	1,009,367
9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses).....			745,203
10. Total (Lines 5 through 9).....	8,613,934	9,323,593	37,123,018
11. Net cash from operations (Line 4 minus Line 10).....	1,960,212	464,047	3,103,004
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	4,510,350	2,759,530	13,976,583
12.2 Stocks.....			
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			682,772
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	4,510,350	2,759,530	14,659,355
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	4,537,001	3,003,093	18,261,832
13.2 Stocks.....			
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....	682,772		
13.7 Total investments acquired (Lines 13.1 to 13.6).....	5,219,773	3,003,093	18,261,832
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(709,423)	(243,563)	(3,602,477)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	72,274	(973,671)	398,935
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	72,274	(973,671)	398,935
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	1,323,063	(753,187)	(100,538)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	1,411,071	1,511,609	1,511,609
19.2 End of period (Line 18 plus Line 19.1).....	2,734,134	758,422	1,411,071

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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